

BEST AVAILABLE COPY

P/04
 #11
 PNP
 10/22/23

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name	Candely Huang		
Signature	Candely Huang	Date	9/12/03

RESPONSE UNDER 37 C.F.R. §1.111 Address to: Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket Confirmation No.	UCAL096CIP3 9705
	First Named Inventor	R.W. Mahley
	Application Number	09/782757
	Filing Date	February 12, 2001
	Group Art Unit	1617
	Examiner Name	S.A. Jiang
	Title	Compounds affecting neuron remodeling and assays for same

Sir:

This amendment is responsive to the Office Action dated December 18, 2002, for which a three-month period for response was given, making this response due on or before March 18, 2003.

Applicants did not receive a copy of the Office Action until March 17, 2003, when it was re-sent via facsimile from the U.S. Patent and Trademark Office (U.S. PTO), and by regular U.S. mail. The original Office Action was apparently returned to the U.S. PTO due to post office error. A copy of a letter dated March 17, 2003 from the U.S. PTO is provided herewith, which letter provides evidence for the fact that the original Office Action was returned to the U.S. PTO, and was re-sent to the undersigned on March 17, 2003.

Because Applicants did not receive a copy of the Office Action until March 17, 2003, and because this response is being filed within three months of the date on which a copy of the Office Action was re-sent to Applicants, before June 17, 2003, this response is timely filed. Applicants submit that no extensions of time are necessary, and that no extension fees are due.

However, and solely in the interest of maintaining pendency of the instant application, should the Office find that an extension of time is necessary, Applicants petition for such extension(s) of time as may be deemed necessary. The Commissioner is hereby authorized to charge any underpayment of fees associated with this communication, including any necessary fees for extensions of time, or credit any overpayment to Deposit Account No. 50-0815, order number UCAL096CIP3.

In view of the remarks put forth below, reconsideration and allowance are respectfully requested.

BEST AVAILABLE COPY

PF

RECEIVED
CENTRAL FAX CENTER

SEP 26 2003

FACSIMILE TRANSMITTAL

BOZICEVIC, FIELD & FRANCIS LLP

200 Middlefield Road, Suite 200

Menlo Park, CA 94025

Telephone: (650) 327-3400

Facsimile Number: (650) 327-3231

OFFICIAL

Date: September 25, 2003
To: Examiner S. Jiang
Art Unit 1617, U.S. Patent and Trademark Office

Facsimile No.: 703-872-9306
From: Paula Borden
Bozicevic, Field & Francis
(650) 833-7710

Re: U.S. Patent Application No. 09/782,757

Message: Please see attached.

Total number of pages, including this cover sheet: 6

WARNING: This facsimile message and accompanying documents are intended only for the use of the addressee indicated above. Information that is privileged or otherwise confidential may be contained therein. If you are not the intended recipient, you are hereby notified that any dissemination, copying, review or use of the above message or the accompanying documents is strictly prohibited. If you have received this message in error, please notify us immediately by telephone or facsimile, and mail the original to us at the above address. Thank you.

Please contact Paula Borden at (650)-833-7710 if you have any problems receiving this transmission.

BEST AVAILABLE COPY

RECEIVED
CENTRAL FAX CENTER

SEP 26 2003

Atty/Sec: PAB/CKII
Atty Docket No. UCAL-096CIP3 Date Mailed: April 18, 2003
Application No.: 09/782,757 Filing Date: February 12, 2001

Inventor(s): MAHLEY, ROBERT W.

Title: "COMPOUNDS EFFECTING NEURON REMODELING
AND ASSAYS FOR SAME"

Enclosure(s):

- ❖ Transmittal (1 pg.)
- ❖ Amendment (3 pgs.)
- ❖ Copy of Response to Status Request (1 pg.)
- ❖ Return Postcard

Acknowledge Receipt of enclosures
By imprinting PTO Date Stamp and Returning to addressee

OFFICIAL

Atty/Sec: PAB/CKII
Atty Docket No. UCAL-096CIP3 Date Mailed: April 18, 2003
Application No.: 09/782,757 Filing Date: February 12, 2001

Inventor(s): MAHLEY, ROBERT W.

Title: "COMPOUNDS EFFECTING NEURON REMODELING
AND ASSAYS FOR SAME"

Enclosure(s):

- ❖ Transmittal (1 pg.)
- ❖ Amendment (3 pgs.)
- ❖ Copy of Response to Status Request (1 pg.)
- ❖ Return Postcard

Acknowledge Receipt of enclosures
By imprinting PTO Date Stamp and Returning to addressee



BEST AVAILABLE COPY

Please type a plus sign (+) inside this box →



P10/SD/21 (08-00)

Approved for use through 10/31/2002. OMB 0601-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number 09/782,757
		Filing Date February 12, 2001
		First Named Inventor MAHLEY, ROBERT W.
		Group Art Unit 1617
		Examiner Name JIANG, SHAOJIA A.
Total Number of Pages in This Submission		Attorney Docket Number UCAL-096CIP3

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Position to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Copy of Status Request (1 pg.) 2) Return Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Signing Attorney/Agent (Reg. No.)	PAULA A. BORDEN, 42,344 BOZICEVIC, FIELD & FRANCIS LLP
Signature	
Date	April 18, 2003

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: April 18, 2003	
Typed or printed name	Cindy Kim Hoang
Signature	
Date	April 18, 2003

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

BEST AVAILABLE COPY